

PATENT  
Docket No. 220032001321

**SUBSTITUTE DECLARATION FOR UTILITY PATENT APPLICATION**

AS BELOW-NAMED INVENTORS, WE HEREBY DECLARE THAT:

Our residences, post office addresses, and citizenship are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: **THE COMBINATORIAL SYNTHESIS OF NOVEL MATERIALS**, the specification of which is attached hereto unless the following box is checked:

was filed on April 22, 1997 as United States Application Serial No. 08/847,967.

WE HEREBY STATE THAT WE HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

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We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

| Application No. | Country | Date of Filing | Priority Claimed?  |
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|                 |         |                | <input type="checkbox"/> Yes <input type="checkbox"/> No |

We hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

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| 08/327,513             | October 18, 1994 | <input checked="" type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned |
| 08/438,043             | May 8, 1995      | <input checked="" type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned |

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|               |  |
|---------------|--|
| Date          | Name: Ivy GOLDWASSER<br>Residence: 580 Arastradero Road, #505, Palo Alto, California 94306<br>Citizenship: United States of America<br>Post Office Address: 580 Arastradero Road, #505, Palo Alto, California 94306<br><br><i>Wade Alton</i> |
| <u>8/4/03</u> | Name: Debra A. ROSS<br>Residence: 9727 Green Ranch Road, Mountain Ranch, California 95246<br>Citizenship: United States of America<br>Post Office Address: P.O. Box 237, Mountain Ranch, California 95246                                    |
| Date          | Name: Peter G. SCHULZ<br>Residence: 1650 La Jolla Rancho Road, La Jolla, California 92037<br>Citizenship: United States of America<br>Post Office Address: 1650 La Jolla Rancho Road, La Jolla, California 92037                             |
| Date          | Name: Xiao-Dong XIANG<br>Residence: 1036 McCaulay Road, Danville, California 9426-1970<br>Citizenship: United States of America<br>Post Office Address: 1036 McCaulay Road, Danville, California 9426-1970                                   |
| Date          | Name: Gabriel BRICEÑO<br>Residence: 13504 Roxwood Avenue, Baldwin Park, California 91706<br>Citizenship: United States of America<br>Post Office Address: 13504 Roxwood Avenue, Baldwin Park, California 91706                               |
| Date          | Name: Xiao-Dong SUN<br>Residence: 33288 Jamic Circle, Fremont, California 94555<br>Citizenship: People's Republic of China<br>Post Office Address: 33288 Jamic Circle, Fremont, California 94555   |

Date

Name: Kai-An WANG  
Residence: 1082 West Hill Court Cupertino, California 95014  
Citizenship: United States of America  
Post Office Address: 1082 West Hill Court Cupertino, California 95014

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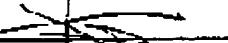
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|----------------|---|
| Date           | Name: <u>Ivy GOLDWASSER</u><br>Residence: <u>580 Arasaderro Road, #305, Palo Alto, California 94306</u><br>Citizenship: <u>United States of America</u><br>Post Office Address: <u>580 Arasaderro Road, #305, Palo Alto, California 94306</u>   |
| Date           | Name: <u>Debra A. ROSS</u><br>Residence: <u>P.O. Box 6235, Arnold, California 95223</u><br>Citizenship: <u>United States of America</u><br>Post Office Address: <u>P.O. Box 6235, Arnold, California 95223</u>  |
| Date           | Name: <u>Peter G. SCHULTZ</u><br>Residence: <u>1650 La Jolla Rancho Road, La Jolla, California 92037</u><br>Citizenship: <u>United States of America</u><br>Post Office Address: <u>1650 La Jolla Rancho Road, La Jolla, California 92037</u>   |
| <u>6/16/03</u> | <br>Name: <u>Xiao-Dong XIANG</u><br>Residence: <u>1036 McCauley Road, Danville, California 94526-1970</u><br>Citizenship: <u>United States of America</u><br>Post Office Address: <u>1036 McCauley Road, Danville, California 94526-1970</u> |
| Date           | Name: <u>Gabriel BRICENO</u><br>Residence: <u>13504 Rexwood Avenue, Baldwin Park, California 91706</u><br>Citizenship: <u>United States of America</u><br>Post Office Address: <u>13504 Rexwood Avenue, Baldwin Park, California 91706</u>  |
| Date           | Name: <u>Xiao-Dong SUN</u><br>Residence: <u>33288 Jamie Circle, Fremont, California 94555</u><br>Citizenship: <u>People's Republic of China</u><br>Post Office Address: <u>33288 Jamie Circle, Fremont, California 94555</u>  |

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Date Name: Kai-An WANG  
Residence: 1082 West Hill Court Cupertino, California 95014  
Citizenship: United States of America  
Post Office Address: 1082 Wcst Hill Court Cupertino, California 95014

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Date Name: Isy GOLDWASSER  
 Residence: 580 Arastadero Road, #505, Palo Alto, California 94306  
 Citizenship: United States of America  
 Post Office Address: 580 Arastadero Road, #505, Palo Alto, California 94306

Date Name: Debra A. ROSS  
 Residence: P.O. Box 6235, Arnold, California 95223  
 Citizenship: United States of America  
 Post Office Address: P.O. Box 6235, Arnold, California 95223

Date Name: Peter G. SCHULTZ  
 Residence: 1650 La Jolla Rancho Road, La Jolla, California 92037  
 Citizenship: United States of America  
 Post Office Address: 1650 La Jolla Rancho Road, La Jolla, California 92037

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 Date Name: Xiao-Dong SUN  
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Name: Kai-An WANG  
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na-726234

Received from &lt;404 572 5145&gt; at 9/11/03 5:29:40 PM [Eastern Daylight Time]

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AS BELOW-NAMED INVENTORS, WE HEREBY DECLARE THAT:**

Our residences, post office addresses, and citizenship are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: **THE COMBINATORIAL SYNTHESIS OF NOVEL MATERIALS**, the specification of which is attached hereto unless the following box is checked:

was filed on April 22, 1997 as United States Application Serial No. 08/847,967.

**WE HEREBY STATE THAT WE HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.**

We acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

| Application No. | Country | Date of Filing | Priority Claimed?  |
|-----------------|---------|----------------|--|
|                 |         |                | <input type="checkbox"/> Yes <input type="checkbox"/> No |

We hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

| Application Serial No. | Filing Date |
|------------------------|-------------|
|                        |             |

We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

| Application Serial No. | Filing Date      | Status   |
|------------------------|------------------|--|
| 08/327,513             | October 18, 1994 | <input checked="" type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned |
| 08/438,043             | May 8, 1995      | <input checked="" type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned |

We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

|      |   |
|------|---|
| Date | Name: Isy GOLDWASSER<br>Residence: 580 Arastradero Road, #505, Palo Alto, California 94306<br>Citizenship: United States of America<br>Post Office Address: 580 Arastradero Road, #505, Palo Alto, California 94306 |
| Date | Name: Debra A. ROSS<br>Residence: P.O. Box 6235, Arnold, California 95223<br>Citizenship: United States of America<br>Post Office Address: P.O. Box 6235, Arnold, California 95223                                  |
| Date | Name: Peter G. SCHULTZ<br>Residence: 1650 La Jolla Rancho Road, La Jolla, California 92037<br>Citizenship: United States of America<br>Post Office Address: 1650 La Jolla Rancho Road, La Jolla, California 92037   |
| Date | Name: Xiao-Dong XIANG<br>Residence: 1036 McCauley Road, Danville, California 94526-1970<br>Citizenship: United States of America<br>Post Office Address: 1036 McCauley Road, Danville, California 94526-1970        |
| Date | Name: Gabriel BRICEÑO<br>Residence: 13504 Rexwood Avenue, Baldwin Park, California 91706<br>Citizenship: United States of America<br>Post Office Address: 13504 Rexwood Avenue, Baldwin Park, California 91706      |
| Date | Name: Xiao-Dong SUN<br>Residence: 33288 Jamie Circle, Fremont, California 94555<br>Citizenship: People's Republic of China<br>Post Office Address: 33288 Jamie Circle, Fremont, California 94555                    |

4-18-2003



Date Name: Kai-An WANG  
Residence: 1082 West Hill Court Cupertino, California 95014  
Citizenship: United States of America  
Post Office Address: 1082 West Hill Court Cupertino, California 95014

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Isy GOLDWASSER and Debra A. ROSS

Serial No.: 08/847,967

Filing Date: April 22, 1997

For: THE COMBINATORIAL SYNTHESIS  
OF NOVEL MATERIALS

Examiner: M. Baker

Group Art Unit: 1627

STATEMENT BY KAI-AN WANG IN SUPPORT OF  
REQUEST TO CORRECT INVENTORSHIP  
PURSUANT TO 37 C.F.R. § 1.48(a)(2)

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I, Kai-An Wang, declare as follows:

- 1) I reside at 1082 West Hill Court Cupertino, California 95014;
- 2) I am an inventor of the above-referenced patent application;
- 3) The error in inventorship occurred without deceptive intention on my part.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and

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